

Topics in 3-manifold topology

V5D2 Selected topics in topology

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target audience: M.Sc Math

(also B.Sc., PhD,...)

Exams $\rightarrow$ Feb/ March (oral?)

$\rightarrow$ content. lectures + exercises

Questions?! Ask whenever they come up! + Question session at end of semester

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Announcements etc $\rightarrow$ my website:

<https://guests.mpim-bonn.mpg.de/truöl/3-mfds.html>

No class on Dec 4 (Dies academicus)

Prerequisites

- Point set topology (connected, compact, ... top. space)
- Basic algebraic topology, e.g. covering spaces, π_1
- Singular homology (& cohom.) useful, but not strictly necessary
(we will recall or black box these concepts & you should tell me if sth is not clear / you feel you need more background)
- Recall . smooth/top manifold $\rightarrow$ next week

Today:

overview & examples $\rightarrow$ will be sketchy

§.1 Introduction

(Sketchy!)

We will study 3-manifolds, i.e. second countable Hausdorff spaces that "locally look like" $\mathbb{R}^3$ or $\mathbb{R}^3_+ = \{(x_1, x_2, x_3) \in \mathbb{R}^3 \mid x_3 \geq 0\}$. We will assume that they have a smooth structure, are compact, and are usually connected and orientable/oriented.

... we'll recall def's later

Notation: $S^n = \{x \in \mathbb{R}^{n+1} \mid \|x\| = 1\}$, $D^n = \{x \in \mathbb{R}^n \mid \|x\| \leq 1\}$, $\partial D^n = S^{n-1}$. In M^n , $n := \dim(M)$ denotes the dimension of M .

§1.1 Examples

1) $S^3 = \{x \in \mathbb{R}^4 \mid \|x\| = 1\}$
 $= \{(z, w) \in \mathbb{C}^2 \mid |z|^2 + |w|^2 = 1\}$
 $\cong_{C^0} \mathbb{R}^3 \cup \{\infty\}$ (one-pt compactification) (exer)
 (homeo) 3-sphere

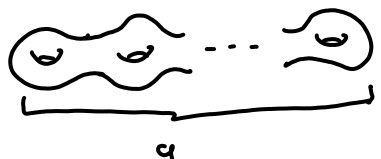
Favorite ex ☺
 Cpt, conn, no ∂

2) $D^3 = \{x \in \mathbb{R}^3 \mid \|x\| \leq 1\}$ 3-ball, $\partial D^3 = S^2$ ☺
 manifold w/ boundary

3) $\mathbb{R}^3$ non-compact ☹

easy constructions of
 new mfd's:
products

4) $S^1 \times S^2$, $S^1 \times \Sigma_g^2$, where

(cld, conn.)
 $\Sigma_g^2 =$ surface of genus $g =$ 

$\Sigma_0^2 = S^2$, $\Sigma_{g+1}^2 := \Sigma_g^2 \# T^2$, $T^2 = S^1 \times S^1$ 

Choose disks
 $D_i \subset \text{int}(\Sigma_i^2)$, remove
 interiors, take disjoint
 union of results &
 identify the boundaries
 of the disks

 (connected sum)

$\Sigma_g^2 \# T^2 := (\Sigma_g \setminus \underline{D}_1^2) \cup_{\varphi|_{\partial D_1^2}} (T^2 \setminus \underline{D}_2^2)$, $\varphi: \partial D_1^2 \xrightarrow{\cong} \partial D_2^2$
 orientation-reversing diffeo

Recall: $M \cup_{\varphi} N := M \sqcup N / x \sim \varphi(x)$

$\nearrow H_2(\Sigma) \cong \mathbb{Z}$

Classification of surfaces: $\forall$ closed, connected, oriented/orientable surfaces $\Sigma^2 \exists! g$ s.t. $\Sigma^2 \cong_{C^0} \Sigma_g^2$.

Rem: It's not entirely obvious, that connected sums are well defined. $\rightarrow$ later!

5) $T^3 = S^1 \times S^1 \times S^1$ 3-torus

Exer: Compute π_1 for the 3-manifolds in Ex. 1-5.

6) Lens spaces $L_{p,q} := S^3 / \sim$

where $S^3 \subseteq \mathbb{C}^2$, $(z, w) \sim (e^{2\pi i/p} z, e^{2\pi i q/p} w)$,
 $p, q \in \mathbb{Z}$ Coprime

[Covering space action of $\mathbb{Z}/p\mathbb{Z} = \pi_1(L_{p,q})$ on S^3]

(one can make more precise when gp actions give rise to manifolds as quotients)

5') $\mathbb{R}^3 \hookrightarrow \mathbb{R}^3$ via $v \cdot w := v + w \leadsto \mathbb{R}^3 / \mathbb{Z}^3 = T^3$

7) Solid torus $V = S^1 \times D^2$, $\partial(S^1 \times D^2) = S^1 \times S^1$

filled-in torus
"donut"

$V \simeq S^1$
h.e. (homotopy equivalent)



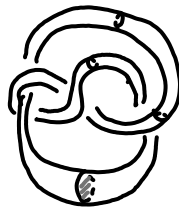
8) Complements of knots $K \subseteq S^3$, e.g. $K = \text{trefoil}$

$\hookrightarrow$ 1-dim, conn., cld (or.) submfd

Knot exterior

$X_K := S^3 \setminus \text{int } V(K)$

tubular nbhd of K

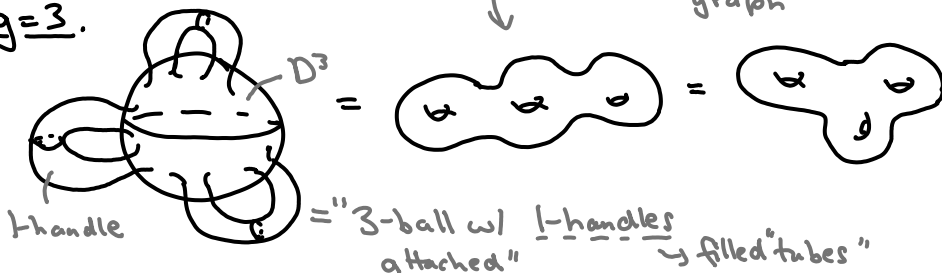


$\partial X_K = \partial V(K) \cong T^2 = S^1 \times S^1$

9) handlebody of genus g

:= "cpt 3-mfd bounded by genus g surface" = cld regular nbhd of appropriate graph

e.g. $g=3$



$g=0$: D^3

$g=1$: $S^1 \times D^2$

§1.2

Structure theorems for 3-manifolds

Thm I (Heegaard splittings).

started w/ PhD thers of the Danish mathematician Poul Heegaard

- pf by Moise (1952) via triangulations
- we'll do: pf using handle decompositions

Every closed, connected, orientable

3-manifold M has a **Heegaard splitting**, i.e

$\exists$ handle bodies V, W of genus g

$$\text{w/ } \partial V = \partial W = \Sigma_g, \exists \varphi. \partial V \xrightarrow[\text{homeo}]{\cong \circ} \partial W \quad (\text{or-reversing})$$

$$\text{s.t. } M = V \cup_{\varphi} W = V \sqcup W / \begin{matrix} p \sim \varphi(p) \\ \uparrow \quad \uparrow \\ \partial V \quad \partial W \end{matrix}$$

Ex: (1) $S^3 = \{(x, y, z, w) \in \mathbb{R}^4 \mid x^2 + y^2 + z^2 + w^2 = 1\}$

$$H := \{(x, y, z, w) \mid w = 0\} \quad \text{hyperplane}$$

$$H \cap S^3 = \{(x, y, z, w) \in \mathbb{R}^4 \mid x^2 + y^2 + z^2 = 1, w = 0\} \cong S^2$$

Exer: H splits S^3 into two balls $B_1 = \{(x, y, z, w) \mid \| \cdot \| = 1, w \geq 0\}$
 $B_2 = \{(x, y, z, w) \mid \| \cdot \| = 1, w \leq 0\}$

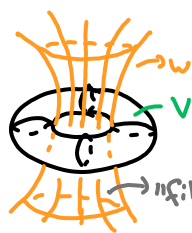
$\leadsto$ genus 0 Heegaard splitting of S^3

Note that

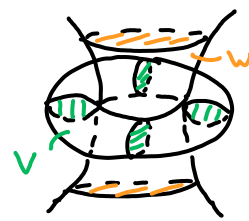
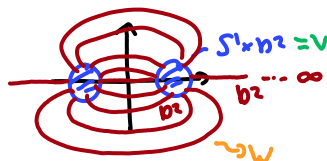
$$(\bigcirc \cup \bigcirc) / \sim = \bigcirc \quad D^2 \sqcup D^2 / \sim = S^2$$

(2) $\bigcirc \cup_{\varphi} \bigcirc \cong S^3 \subseteq \mathbb{C}^2$

Picture in $\mathbb{R}^3$.



Side view:

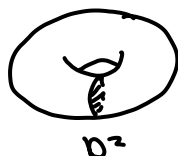
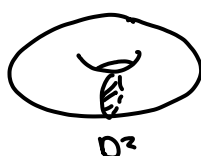


$\uparrow$ disks D^2 here correspond to orange disks there

Exer: $\{(z, w) \in \mathbb{C}^2 \mid |z|^2 \leq \frac{1}{2}, |z|^2 + |w|^2 = 1\} = V$
 $|w|^2 \leq \frac{1}{2} = W$

$$V \cap W = \{(z, w) \mid |z|^2 = |w|^2 = \frac{1}{2}\} \cong S^1 \times S^1$$

(3) $S^1 \times S^2 = (S^1 \times D^2 \sqcup S^1 \times D^2) / (\theta_1, \theta_2) \sim (\theta_1, \theta_2)$



$\leadsto S^2$

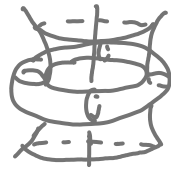
Thm II (Dehn Surgery): Every closed, connected, oriented 3-mfd M

(Lichnerowicz-Wallace) can be obtained by Dehn Surgery on S^3 .

- Remove finitely many $S^1 \times D^2$ from S^3

- Reglue $S^1 \times D^2$'s via homeomorphisms $\partial(S^1 \times D^2) \rightarrow \partial(\overline{S^3 \setminus S^1 \times D^2})$

Ex: • $(S^3 \setminus (\underbrace{D^2 \times S^1}_{\subseteq \mathbb{C}^2})) \cup_{\varphi} (S^1 \times D^2) = (S^1 \times D^2 \sqcup S^1 \times D^2) / \sim = S^1 \times S^2$



- $S^3 \setminus (S^1 \times D^2) \cup_{\varphi} (S^1 \times D^2) = S^3$

Note that removing a solid torus from S^3 is the same as removing a tubular nbhd of a knot in S^3 .

Rem: There are strong ties between understanding 3-mfds & understanding the embedded 1- & 2-submfds therein. (knots & surfaces)

Thm III (Prime decomposition theorem)

We didn't have enough time to give the precise statement in class, but it is like the prime decomp for natural numbers a decomposition of 3-mfds into simpler, "prime" pieces using the connected sum operation, an analogue of conn. sum of surfaces (see above)

Outlook: For prime decomp, we split 3-mfds along spheres, can also split along tori. $\rightarrow$ JSJ decomp.,

Geometrization Conj.



This led to the pf of the famous Poincaré conjecture.

[Perelman; building on work on Ricci flow by Hamilton, ...]